# JUL 2 8 2004 S

# DANN DORFMAN HERRELL AND SKILLMAN

A PROFESSIONAL CORPORATION

**SUITE 2400** 

1601 MARKET STREET

PHILADELPHIA, PA 19103-2307

TELEPHONE (215) 563-4100 FACSIMILE (215) 563-4044

July 26, 2004

COUNSELORS AT LAW ESTABLISHED 1973

PATENT, TRADEMARK AND COPYRIGHT LAW AND RELATED CAUSES

PROSECUTION
LICENSING
LITIGATION
DISPUTE RESOLUTION

C. MARSHALL DANN (1977-2002)

THU & NY BARS ONLY

JOHN S. CHILD, JR.

CHRISTOPHER A. ROTHE

ROGER W. HERRELL

STEPHEN H. ELAND

NIELS HAUN, PH.D.

OF COUNSEL

DONALD R. PIPER, JR. VINCENT T. PACE

JOHN B. BERRYHILL, PH.D.

KATHLEEN D. RIGAUT, PH.D.

CLEMENT A. BERARD, JR.T.

PATRICK J. HAGAN

PATENT AGENT
JENNIFER'E, LANGENBERGER

TECHNICAL ADVISORS
ROBERT C. NETTER, JR., Ph.D.
MICHAEL G. MAUK, Ph.D.

In re the Continuation-in-Part Application of

EmadEldin M. Hassan

Serial No.:

10/667,738

Filing Date:

September 22, 2003

Title:

**ELEMENTAL NANOPARTICLES** 

OF SUBSTANTIALLY WATER INSOLUBLE MATERIALS

#### **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a):**

I hereby certify that the enclosed "Applicant's Response To Notice To File Missing Parts of Nonprovisional Application Filed Under 37 CFR §1.53(b)" is being deposited on <u>July 26, 2004</u>, with the United States Postal Service as first-class mail in an envelope properly addressed to <u>MAIL STOP — MISSING PARTS – FEE</u>, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

July 26, 2004

Date of Certification

John S. Child, Jr.

#### PETITION FOR EXTENSION OF TIME UNDER 37 C.F.R. § 1.136(a)

A Notice To File Missing Parts of Nonprovisional Application (Formalities Letter) was mailed on April 28, 2004, and had a deadline for reply of two (2) months, *i.e.*, until June 28, 2004. Applicant hereby petitions for a one (1) month extension of time for filing this Response, or until July 28, 2004, and encloses a check in the amount of \$120.00 (small entity rate) to cover the \$55.00 Extension Fee as well as the \$65.00 Declaration Surcharge.

07/28/2004 HLE333

00000072 10667738

02 FC:2251

55.00 OP

Respectfully submitted

John S. Child, Jr.

PTO Registration No. 28,833

#### **AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT**

In the event the fee calculation is incorrect, the Commissioner is hereby authorized to charge any underpayment or credit any overpayment to the account of the undersigned attorneys, **Account No. 04-1406**. A duplicate copy of this sheet is enclosed for fee-processing if necessary.

DANN DORFMAN HERRELL AND SKILLMAN

A Professional Corporation

John S. Child, Jr.

PTO Registration No. 28, 833

PTO/SB/17 (10-03)

2004

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ne Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

X Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 120.00

Signature

Complete if Known					
Application Number	10/667,738				
Filing Date	September 22, 2003				
First Named Inventor	EmadEldin M. Hassan				
Examiner Name	Chi Min Kam				
Art Unit	1653				
Attorney Docket No.	Hassan 2001A	J			

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)					
X Check Credit card Money Other None 3. ADDITIONAL FEES								
X Deposit Account:			ntity	Small	Entity			
Deposit Account.		Fee	Fee		Fee	Fee Description	Fac Daid	
Account   04 - 1460		1051	130	2051	(\$) 65	Surcharge - late filing fee or oath	Fee Paid 65.00	
Number Deposit Dann Dorfman Herrell and	ari	1052	50	2052		Surcharge - late provisional filing fee or	03.00	
Account Name Skillman		1002	50	2002		cover sheet		
The Director is authorized to: (check all that apply)		1053	130	1053		Non-English specification		
Charge fee(s) indicated below X Credit any overpayments		1812		1812	_,	For filing a request for ex parte reexamination		
X Charge any additional fee(s) or any underpayment of fee(s)		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee		1805	1,840*	1805	1,840*	Requesting publication of SIR after		
to the above-identified deposit account.		1051	110	2251		Examiner action  Extension for contravithin first month	55.00	
FEE CALCULATION		1251		2251	55 210	Extension for reply within first month  Extension for reply within second month		
1. BASIC FILING FEE		1252 1253	420 950	2252		· · · · · · · · · · · · · · · · · · ·		
Large Entity Small Entity Fee Fee   Fee Fee Fee Description Fee	e Paid	1253		2253		Extension for reply within third month		
Code (\$) Code (\$)					740	Extension for reply within fourth month		
1001 770 2001 385 Utility filing fee	$\neg$ 1	1255		2255		Extension for reply within fifth month		
1002 340 2002 170 Design filing fee		1401	330	2401		Notice of Appeal		
1003 530 2003 265 Plant filing fee		1402	330	2402		Filing a brief in support of an appeal		
1004 770 2004 385 Reissue filing fee		1403	290	2403		Request for oral hearing	<del></del>	
1005 160 2005 80 Provisional filing fee		1451		1451		Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 0		1452	110	2452	55	Petition to revive - unavoidable	<del></del>	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1453	-	2453		Petition to revive - unintentional		
Fee from _	ee Paid	1501		2501		Utility issue fee (or reissue)		
Extra Claims below Fe Total Claims -20** = X =	ee Faiu	1502	480	2502		Design issue fee		
Independent 3** - V	——il	1503	640	2503		Plant issue fee	<u> </u>	
Claims -3		1460	130	1460		Petitions to the Commissioner		
Large Entity   Small Entity		1807	50	1807		Processing fee under 37 CFR 1.17(q)		
Fee Fee Fee Fee Description		1806	180	1806		Submission of Information Disclosure Stmt Recording each patent assignment per		
Code (\$)   Code (\$) 1202 18   2202 9 Claims in excess of 20	·	8021	40	8021	l 40	property (times number of properties)		
1202	s of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	1	
1203 290 2203 145 Multiple dependent claim, if n		1810	770	2810	385	For each additional invention to be		
1204 86 2204 43 ** Reissue independent claim	· · · · · · · · · · · · · · · · · · ·	,0,0				examined (37 CFR 1.129(b))	<u> </u>	
over original patent		1801	770	2801		Request for Continued Examination (RCE)		
1205 18 2205 9 ** Reissue claims in excess of and over original patent	of 20	1802	900	1802	900	Request for expedited examination     of a design application		
			Other fee (specify)					
SUBTOTAL (2) (\$) 0  **or number previously paid, if greater; For Reissues, see above			*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 120.00					
SUBMITTED BY (Complete (# applicable))  Name (Print/Type)							100	
Name (Print/Type)   Toba C Child Tr		1,503	3	100 00 7	/X	1.833 Telephone 215-563-4	IWU	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT
ATTORNEY DOCKET HASSAN 2001A

Chi Min Kam

1653

# Examiner Chi Min Kam, Group Art Unit 1653

Examiner:

Group Art Unit:

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Continuation-in-Part Application of

September 22, 2003

EmadEldin M. Hassan

Filed:

Serial No.: 10/667,738

:

·

Based on copending Application

Serial No.: 09/748,803

Filed: December 22, 2000

For: ELEMENTAL NANOPARTICLES OF SUBSTANTIALLY

WATER INSOLUBLE MATERIALS

APPLICANT'S RESPONSE

TO NOTICE TO FILE MISSING PARTS

OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR § 1.53(b)

#### MAIL STOP - MISSING PARTS - FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

# I <u>Introduction</u>

On April 28, 2004, the United States Patent and Trademark Office issued a Notice To File Missing Parts of Nonprovisional Application (Formalities Letter) for this Application. The Notice set a two-month deadline for filing all required items and paying any fees required, *i.e.*, until June 28, 2004. With this Response, Applicant is submitting a Petition for a One-Month Extension of Time Under 37 CFR 1.136(a) and has included the \$55.00 fee in its check for USPTO fees. Accordingly, this Response is timely as it is filed on July 26, 2004, with a Certificate of Mailing Under 37 CFR § 1.8(a).

# Il <u>Items Required To Be Submitted To Avoid Abandonment</u>

The Notice identified the following items required to be submitted in order to avoid abandonment of the Application:

#### 1. The oath or declaration

Applicant submits a *Declaration, Power of Attorney and Power To Inspect* executed by EmadEldin M. Hassan, Ph.D. on July 22, 2004, with a copy of United States Patent Application Serial No. 10/667,738, filed September 22, 2003, attached.

# 2. A late filing fee or oath or declaration surcharge

Applicant submits a check made payable to the Commissioner of the United States Patent and Trademark Office in the amount of \$120.00, which covers the \$65.00 for the declaration surcharge as set forth in 37 CFR 1.16(e) as well as the \$55.00 fee for a Petition for a One-Month Extension of Time under the provisions of 37 CFR 1.136(a)

# 3. A copy of the Notice To File Missing Parts

Applicant has included a copy of the Notice To File Missing Parts of Nonprovisional Application issued on April 28, 2004.

### III Conclusion

In response to the Notice To File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b), Applicant has submitted a Declaration, Power of Attorney and Power To Inspect, the \$65.00 Surcharge for providing the Declaration later than the appropriate 30 months from the priority date, the \$55.00 fee for a Petition requesting a one-month extension of time, and a copy of the Notice to File Missing Parts. Applicant's Response is timely and complete. Accordingly, with this Response, Applicant believes that the requirements for acceptance of Application No.10/667,738 under 37 CFR 1.53(b) have been completed.

Respectfully submitted,

DANN DORFMAN HERRELL AND SKILLMAN A Professional Corporation

John S. Child, Jr.

PTO Registration No. 28, 833

Suite 2400

1601 Market Street

Philadelphia, PA 19103-2307

Telebrione.

Telephone: 215-563-4100

Facsimile:

215-563-4044

Date: July 26, 2004

SEND ALL CORRESPONDENCE TO: Customer Number 000110 DANN DORFMAN HERRELL AND SKILLMAN Suite 2400\*\* 1601 Market Street Philadelphia, PA 19103-2307



# United States Patent and Trademark Office

Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
PO. Box 1450
Alexandria, Virginia-22313-1450
www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/667,738

09/22/2003

EmadEldin M. Hassan

Hassan2001A

**CONFIRMATION NO. 1894** 

**FORMALITIES LETTER** 

\*OC000000012468745\*

000110 DANN, DORFMAN, HERRELL & SKILLMAN **1601 MARKET STREET SUITE 2400** PHILADELPHIA, PA 19103-2307

Date Mailed: 04/28/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/28/2004 HLE333

00000072 10667738

01 FC:2051

65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY